



APEX CAPITAL MARKETS LIMITED

'OM TOWER', 32, JAWAHARLAL NEHRU ROAD, 2ND FLOOR, SUIT NO. : 205, KOLKATA - 700 071
PHONE : (033) 2226-3680/81 & 2226-3753/3028-1331, FAX : (033) 2226-3754
E-MAIL : lalwanikal2@hotmail.com, WEBSITE : www.lalwaniferroalloys.com

CIN- L65999WB1995PLC067302

Date: 15/04/2024

To,
The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range,
Kolkata 700001

Scrip Code: 011122

Dear Sir(s),

Sub: Submission of Certificate under Reg. 40(9) of SEBI (Listing Obligation and Disclosure Requirements), 2015 for the year ended 31st March 2024

With regards to the captioned subject, please find herewith the certificates under Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) issued by Ms. Divya Mohta, Practicing Company Secretary for the year ended 31st March 2024.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For Apex Capital Markets Limited



Kamal Kishore Lalwani
Managing Director
DIN: 00064724



Company Secretary in Practice
Membership : ACS 47040
Certificate of Practice : 17217
Peer Review : 2773/2022

DIVYA MOHTA
29, Strand Road, Kolkata - 700001
Mobile : 9830971919
Email : dmohta92@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS
For the Year ended 31st March 2024

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **APEX CAPITAL MARKETS LIMITED** (CIN: L65999WB1995PLC067302) having its registered office at Om Tower, 2nd Floor, Suite No. 205, 32 Jawaharlal Nehru Road, Kolkata 700071; maintained by R & D Infotech Private Limited pertaining to transfer of equity shares of the company for the period from 01st April 2023 to 31st March 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015; entered into by the Company with 'The Calcutta Stock Exchange (CSE) Limited' and based on the information provided by the Company, I hereby certify that the Company has delivered the same, during the year ended on 31st March 2024.

A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 01st April 2023 to 31st March 2024 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.

B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment.

DIVYA
MOHTA
Digitally signed
by DIVYA
MOHTA
Date: 2024.04.15
11:39:46 +05'30'

Divya Mohta

Company Secretary in whole time practice
Membership: A47040 | Peer Review: 2773/2022 | COP: 17217

Place: Kolkata
Date: 15.04.2024
UDIN : A047040F000116989